

06-11-01

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PATENT

Docket No. IL-10714Customer No. 24981

Commissioner for Patents  
Washington, DC 20231

## NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of Inventor(s):  
**Chandrika Kamath, Erick Cantu-Paz**

For (title): **Parallel Object-Oriented Decision Tree System**

## 1. Type of Application

- ☒ This new application is for an original patent.
- ☐ This new application is a:
- ☐ Division
  - ☐ Continuation
  - ☐ Continuation-in-part (CIP)

## 2. Benefit of Prior U.S. Application(s) (35 USC 120)

- ☐ The new application being transmitted claims the benefit of prior U.S. application(s).

3. ☐ Benefit under 35 U.S.C. 119(e) of United States provisional application(s) listed below:

Application Serial No. Filing Date

## 4. Papers enclosed which are required for filing Date Under 37 CFR 1.53(b).

41 Pages of specification w/title page  
6 Pages of claims  
1 Pages of abstract  
5 Sheets of drawings  
☒ formal

## 5. Additional papers enclosed

- ☐ Preliminary Amendment  
☒ Information Disclosure Statement  
☒ Form PTO-1449

6. Declaration or oath

- ☒ Enclosed and executed by
- ☒ inventors
  - ☐ legal representative of inventor(s) 37 CFR 1.42 or 1.43
  - ☐ Not Enclosed

7. Assignment

- ☒ An assignment of the invention to The Regents of the University of California.
- ☒ is attached
  - ☐ will follow

8. Certified Copy

Certified copy(ies) of application(s)

(country)	(application no.)	(filed)
(country)	(application no.)	(filed)

from which priority is claimed

- ☐ is(are) attached.
- ☐ will follow

9. Fee Calculation

CLAIMS AS FILED					
Type of Claim	Number Filed	Included in Basic Fee	Number Extra	Rate	Total Fee
Total Claims	36	-20 =	16	x \$18 =	\$ 288.00
Independent Claims	4	-3 =	1	x \$80 =	\$ 80.00
				Multiple Claims	= \$ 368.00
				Basic Filing Fee	= \$ 710.00
				Sub-Total	= \$ 1,078.00
				Small Entity Filing Fee	= \$ 539.00

10. Small Entity Statement(s)

☐ Verified Statement that this is a filing by small entity under 37 CFR 1.9 and 1.27 is attached.

☒ Small Entity status is proper and desired

Filing Fee Calculation (50% of regular filing fee) \$ 539.00

11. Fee Payment

☐ Not Enclosed

☒ Enclosed (See Account No. Below)

**Total Basic Filing Fees To Be Paid** \$ 539.00

12. Method of Payment of Fees

☐ Check in the Amount of \$ \_\_\_\_\_

☒ Charge Account No. 12-0695 in the amount of \$ 539.00


A duplicate of this transmittal is attached.

13. Instructions As To Overpayment/Underpayment

☒ credit/charge

Account No. 12-0695

☐ refund



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Dated: June 8, 2001

Attorney Docket No. : IL-10714

Art Unit:

Examiner:

**Commissioner for Patents**  
**Washington, D.C. 20231**

Date of Deposit 6/8/01

1. Recordation Cover Sheet w/ Assignment
2. New Application Transmittal (in duplicate)
3. Application  
(Specification w/Title Pg. 41 pages, Claims 6 pages, Abstract 1 page)  
Combined Declaration and Power of Attorney  
Five (5) sheets of formal drawings;
4. Information Disclosure Statement ( 1 page)
5. Form PTO-1449 (1 page)
  - a) 4 U.S. Patent Documents
  - b) 17 Other Disclosures
6. Express Mail Certificate
7. Return postcard

Kathy E. Raymond

(Type or print name of person mailing paper)

Kathy E. Raymen  
(Signature of person mailing paper or fee)